Baker McKenzie.

Supply Chain, Product and ESG Compliance and Liability

27 September - 1 October 2021

Annual Compliance Conference

Agenda

Tuesday 28 September, **3.00 - 4.00 pm BST** **4.00 - 5.00 pm CEST** **10.00 - 11.00 am EDT**	Managing ESG Risks in the Supply Chain
Wednesday 29 September, **3.00 - 4.00 pm BST** **4.00 - 5.00 pm CEST** **10.00 - 11.00 am EDT**	Supply Chain Due Diligence for ESG Risks Panel Discussion
Thursday 30 September, **2.00 - 3.00 pm BST** **3.00 - 4.00 pm CEST** **9.00 - 10.00 am EDT**	Sustainability: The Claims You Make
Thursday 30 September, **4.00 - 5.00 pm BST** **5.00 - 6.00 pm CEST** **11.00 am - 12.00 noon EDT**	Product Liability & Regulation Risk Management Horizon Scanning Developments and Challenges in the EU and UK

Supply Chain Due Diligence for ESG Risks Panel Discussion

Wednesday 29 September
3.00 - 4.00 pm BST / 4.00 - 5.00 pm CEST / 10.00 - 11.00 am EDT

Speakers

Graham Stuart
Partner,
London (Co-Chair)

Rachel MacLeod
Senior Associate,
London (Co-Chair)

Jesse Glickstein
Environmental & Human Rights Counsel at Hewlett Packard Enterprise Company

Melissa Darby
Corporate Counsel, Compliance and Sustainability at Cummins

Francesca Richmond
Partner,
London

HPE's Approach To Supply Chain Responsibility

"We share a responsibility with our suppliers to protect workers, communities, and the environment in our supply chain. Our extensive Supply Chain Responsibility (SCR) program guides our approach to assessing risks, monitoring and improving performance, and working collaboratively with suppliers, peers, and other stakeholders to share knowledge on key issues."

Mission

- Protect and elevate workers
- Reduce global and community environmental impact
- Benefit HPE, our business partners, and our customers

Our approach

Continually evolving policies, standards, and management system

Sensing risk	Addressing risk
Stakeholder engagement	Multi-industry collaboration
Supplier risk profiling	Remediation
External data	Capability building
Performance monitoring Comprehensive audits Targeted assessments KPI collection	**Business integration** Onboarding SER scorecard Integrated SER and procurement goals

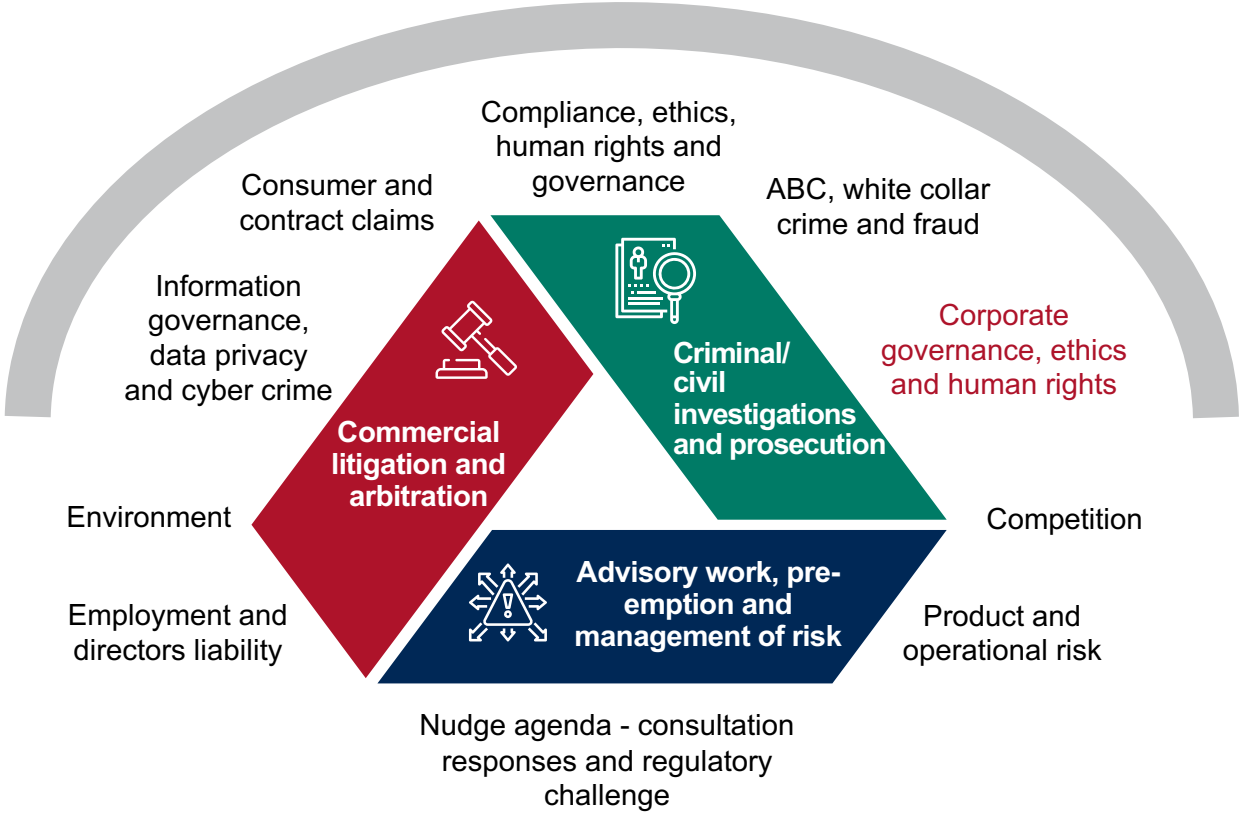
Mutual feedback

Transparency through reporting and public dialogue

ESG Regulatory Landscape

North America	Europe	Asia-Pacific
In Force		
California Transparency in Supply Chains Act 2010	EU Non-financial Reporting Directive	Australian Modern Slavery Act 2018
U.S. Dodd-Frank Act Section 1502 (Conflict Minerals Rule)	EU Conflict Minerals Regulation	Hong Kong Stock Exchange ESG Reporting Requirements
US Federal Acquisition Regulation: Ending Trafficking in Persons	French Corporate Duty of Vigilance Law	Singapore Prevention of Human Trafficking Act
U.S. SEC Guidance Regarding Disclosure Related to Climate Change	UK Equality Act 2010	
	UK Modern Slavery Act 2015	
Proposed or Not Yet Effective		
Canadian Modern Slavery Act and Amendment to Customs Tariff	Dutch Child Labour Due Diligence Act 2019	Hong Kong Modern Slavery Bill 2017
Updated SEC Guidance Regarding Disclosure Related to Climate Change	EU Corporate Due Diligence and Corporate Accountability Directive	New South Wales Modern Slavery Act 2018
	EU Amended Non-financial Reporting Directive (Corporate Sustainability Reporting Directive)	New Zealand Climate Risk Reporting
	German Legislation on Corporate Due Diligence in Supply Chains	
	Swiss Responsible Business Initiative	
	UK Climate-Related Financial Disclosures	

Supply Chain Risk Management: Coordinating Compliance and Regulatory Considerations



Key considerations:

- Information gathering and creation across jurisdictions:
  - Privilege;
  - Data processing;
  - Employee rights.
- Regulatory reporting, stakeholder and client engagement
- Crisis and reputation management

Questions

Tomorrow's sessions

Thursday 30 September, **2.00 - 3.00 pm BST** **3.00 - 4.00 pm CEST** **9.00 - 10.00 am EDT**	Sustainability: The Claims You Make
Thursday 30 September, **4.00 - 5.00 pm BST** **5.00 - 6.00 pm CEST** **11.00 am - 12.00 noon EDT**	Product Liability & Regulation Risk Management Horizon Scanning Developments and Challenges in the EU and UK

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